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Customer Number

Patent
Case No.: 59418US002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.
Application No.: 10/726968 Group Art Unit: 2882
Filed: December 2, 2003 Examiner: Keaney, Elizabeth Marie
Title: PHOSPHOR BASED LIGHT SOURCES HAVING A NON-PLANAR SHORT
PASS REFLECTOR AND METHOD OF MAKING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

August 10, 2005
DateAndrea Newhouse
Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

The reference entitled "Inquiry Documents" is a collection of documents relating to an inquiry from an outside party who expressed interest in using 3M's multilayer polymeric UV mirror film in combination with an LED and a phosphor. For reference purposes, consecutive page numbers have been added at the bottom of each page. In the case of the emails from Larry R. Gilbert dated 03/19/2001 and 03/20/2001 (pages 4 and 31), printouts of the respective electronic file attachments are provided. On information and belief, each of the sheets of UV

mirror film that were sent in connection with the letter on page 29 and the letter on page 54 was a polymeric multi-layer optical film having alternating coextruded and biaxially stretched layers of PET and co-PMMA and having a single normal-incidence reflection band (measured at half-maximum) that extended from about 320 nm to an upper wavelength limit that ranged from about 430 nm to about 460 nm, depending on the position on the sheet. Each sheet was flexible, and was made by 3M Company in the United States. The letter on page 54 was sent on or about May 2, 2001, with two sheets of the UV mirror film, about 6x6 inches in size.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

10 Aug 2005
Date

By: Stephen C. Jensen
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number

10/726968

Filing Date

December 2, 2003

First Named Inventor

Ouderkirk, Andrew J.

Art Unit

2882

Examiner Name

Keaney, Elizabeth Marie

Attorney Case Number

59418US002

(Use as many sheets as necessary)

Page 1 of 1

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,652,996	11/25/2003	Steklenski et al.	
	A2	US- 6,717,348	04/06/2004	Takahashi	

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Inquiry Documents, 54 pages.	

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.